

PATENT APPLICATION

#3  
1-22-01  
L. Hallman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Dan Botez, James G. Lopez,  
Gunawan Witjaksono

Date: December 22, 2000

Docket No.: 032026:0471

Serial No.: 09/635,968

Group Art Unit: 2881

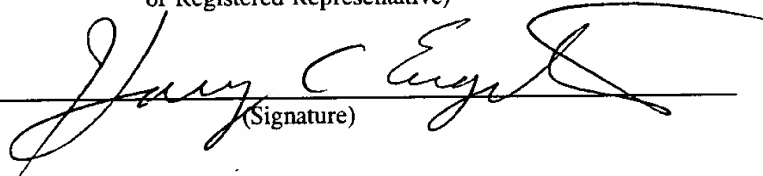
Filed: August 10, 2000

For: **SINGLE MODE, SINGLE LOBE SURFACE EMITTING DISTRIBUTED  
FEEDBACK SEMICONDUCTOR LASER**

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Commissioner for  
Patents, Washington, D.C. 20231 on December 22, 2000.

\_\_\_\_\_  
Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

\_\_\_\_\_  
  
(Signature)

\_\_\_\_\_  
December 22, 2000

(Date of Signature)

**TRANSMITTAL OF MISSING PARTS OF  
APPLICATION UNDER 37 CFR § 1.53**

Commissioner for Patents  
Washington, D.C. 20231

Attn: Box Missing Parts

Dear Sir:

This is in response to the Notice to File Missing Parts of Application mailed on  
September 22, 2000, after entry of the accompanying Petition extending the time for response  
to December 22, 2000.

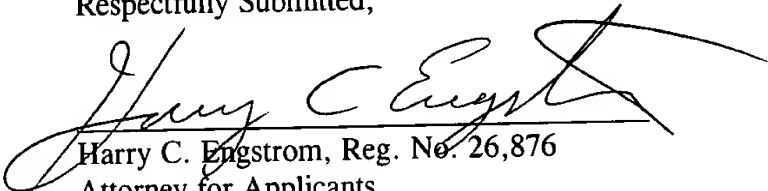
Enclosed are the following items for filing with respect to the above-referenced U.S. Patent Application.

1. Declaration and Power of Attorney executed by the applicants.
2. A copy of the Notice of September 22, 2000.
3. A check in the amount of \$617.00 to cover the filing fee in the small entity amount (37 C.F.R. § 1.16(a) et seq.), and the surcharge in the small entity amount set forth in C.F.R. § 1.16(e). Small entity status for this application is requested.
4. A Petition for one month extension of time and a check in the amount of \$55.00 for the petition fee in the small entity amount.
5. Information Disclosure Statement, PTO-1449 and cited documents.
6. Transmittal of Formal Drawings and thirteen sheets of Formal Drawings.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1447. A duplicate copy of this sheet is enclosed.

Having now complied with all of the requirements of 37 C.F.R. § 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Respectfully Submitted,

  
Harry C. Engstrom, Reg. No. 26,876  
Attorney for Applicants  
Foley & Lardner  
150 East Gilman Street  
Post Office Box 1497  
Madison, Wisconsin 53701-1497  
(608) 258-4207

SECTOR 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/635,968	08/10/2000	Dan Botez	032026-0471

Harry C Enstrom  
Foley & Lardner  
150 East Gilman Street  
P O Box 1497  
Madison, WI 53701-1497



## FORMALITIES LETTER



\*OC00000005419312\*

Date Mailed: 09/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$414.
  - \$414 for 23 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1234.

A copy of this notice **MUST** be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2000 KHARHOL 00000003 061447 09635968

02 FC:201 355.00 OP  
03 FC:205 65.00 OP  
04 FC:204 197.00 OP

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9/21/00

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Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

(Signature)

December 22, 2000

(Date of Signature)

**TRANSMITTAL OF FORMAL DRAWING**

Commissioner for Patents  
Washington, D.C. 20231

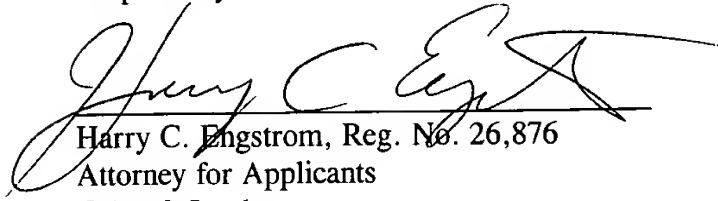
Attn: Box Missing Parts

Dear Sir:

Enclosed for filing with respect to the above-referenced U.S. Patent Application  
are thirteen (13) sheets of formal drawings to be substituted for the drawings submitted with  
the application.

It is requested that applicants' undersigned attorney be advised of any further  
requirements with respect to the formal drawings.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Harry C. Engstrom", with a long horizontal flourish extending to the right.

Harry C. Engstrom, Reg. No. 26,876

Attorney for Applicants

Foley & Lardner

150 East Gilman Street

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Madison, Wisconsin 53701-1497

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